Rulemaking to Implement the Commission's Procurement Incentive Framework and to Examine the Integration of Greenhouse Gas Emissions Standards into Procurement Policies

Dated: January 8, 2007

Rulemaking 06-04-009

# PHASE 1, DRAFT INTERIM DECISION: GREENHOUSE GAS EMISSIONS PERFORMANCE STANDARD REPLY BRIEF OF THE PEOPLE OF THE STATE OF CALIFORNIA, ex rel. EDMUND G. BROWN JR., ATTORNEY GENERAL

Edmund G. Brown Jr., Attorney General Ken Alex, Supervising Deputy Attorney General Janill L. Richards, Deputy Attorney General Deborah Slon, Deputy Attorney General

California Department of Justice 1515 Clay Street, 20<sup>th</sup> Floor P. O. Box 70550 Oakland, California 94612-0550 Telephone: (510) 622-2100 Facsimile: (510) 622-2270

E-Mail: <u>ken.alex@doj.ca.gov</u> janill.richards@doj.ca.gov

deborah.slon@doj.ca.gov

Attorneys for the People of the State of California

### BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

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Procurement Incentive Framework and to Examine the
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#### I. INTRODUCTION

The Attorney General submits this Reply Brief pursuant to the notice accompanying the Proposed Interim Decision on Phase I Issues: Greenhouse Gas Emissions Performance Standard. The Attorney General has previously submitted briefs and comments in these proceedings and hereby incorporates those by reference. The Attorney General provides this submittal pursuant to his independent power and duty to protect the natural resources of the State from pollution, impairment, or destruction in furtherance of the public interest. (See Cal. Const., art. V, § 13; Cal. Gov. Code, §§ 12511, 12600-12; *D'Amico v. Bd. of Medical Examiners* (1974) 11 Cal.3d 1, 14-15.) This submittal is made on behalf of the Attorney General and not on behalf of any other California agency or office.

In this Interim Proposed Decision Reply Brief, we respond briefly to legal issues raised by the Center for Energy and Economic Development ("CEED") in its opening submittal on the Interim Proposed Decision. Because we have previously responded to similar arguments raised by CEED (see Attorney General's Legal Issues Reply Brief, filed July 10, 2006 and Response to CEED, filed October 31, 2006), we respond here only to present some additional points with respect to CEED's argument that the Proposed Decision conflicts with United States' foreign

policy. The logical extension of CEED's argument is that *any* action by a state or state regulatory body addressing global warming or greenhouse gas emissions conflicts with U.S. foreign and domestic policy and is preempted. As set forth below, the Attorney General concludes that CEED misrepresents U.S. foreign and domestic policy on this subject and presents no legal support for its view.

#### II. DISCUSSION

#### 1. Commerce Clause

In its Comments on the Proposed Interim Decision, CEED repeats its argument that the Performance Standard violates the Commerce Clause of the United States Constitution. CEED presents no new arguments, and the Attorney General continues to conclude that the Standard does not violate the Commerce Clause, for the reasons set forth in our July 6, 2006 and October 31, 2006 submissions.

#### 2. Foreign Policy

CEED once again contends that the Performance Standard conflicts with U.S. foreign policy, which it describes as "work[ing] with other nations to achieve a coordinated response to global climate change," and "not mandating unilateral reductions in CO2 emissions from United States sources." (CEED Comments at 20 (Jan. 2, 2007).) We have responded to this argument before (July 6, 2006 submission), and briefly supplement that response here. First, it is hard to imagine how the Performance Standard will conflict with a federal policy to "work with other nations" for a coordinated global warming response. Second, under CEED's formulation, any action addressing any aspect of greenhouse gas emissions or global warming by the PUC, the State of California, or any entity other than the federal government will run afoul of federal foreign policy if it has the effect of reducing greenhouse gas emissions. In essence, CEED contends that U.S. foreign policy precludes domestic reduction of greenhouse gas emissions, particularly if mandated by a state agency. This absurd result is not supported by facts or law.

In support of its rendition of U.S. foreign policy, CEED cites to U.S. EPA's "denial of petition for rulemaking," which is currently the subject of Supreme Court review in *Massachusetts v. EPA*, No. 05-1120 (U.S. Supreme Court, 2006). EPA concluded that it "believes that the [Clean Air Act] does not authorize regulation to address global climate change." (Control of Emissions 68 Fed. Reg. 52922-02, 52924 (Sep. 8, 2003).) In its foray into

foreign policy, EPA states in the petition denial that "[u]nilateral EPA regulation of motor vehicle [greenhouse gas] emissions could also weaken U.S. efforts to persuade key developing countries to reduce the GHG intensity of their economies." (*Id.* at 52931.) It is difficult, at best, to discern U.S. foreign policy precluding state action from the EPA statement.

In fact, the federal government has stated its support for state actions, including mandatory controls of greenhouse gases. For example, in his testimony before Congress, the Chairman of the White House Council on Environmental Quality stated, apparently supportively, that "[m]any of our states and cities are experimenting with . . . portfolios of voluntary measures, incentives, and locally relevant mandatory measures." (Testimony of James L. Connaughton Before the U.S. House of Representatives Committee on Government Reform (July 20, 2006) at 4.)½ Connaughton also stated that the Bush Administration welcomes "effective state action to complement" federal efforts. (Statement of James L. Connaughton, quoted in "California Tighten Rules on Emissions," NY Times (Sept. 1, 2006).) And in his statement to the United Nations Framework Convention on Climate Change, the Head of the U.S. Delegation, Dr. Harlan Watson, stated:

I would like to highlight the efforts being made by State and local governments in the United States to address climate change. Geographically, the United States encompasses vast and diverse climatic zones representative of all major regions of the world—polar, temperate, semi-tropical, and tropical—with different heating, cooling, and transportation needs and different energy endowments. Such diversity allows our State and local governments to act as laboratories where new and creative ideas and methods can be applied and shared with others and inform federal policy—a truly bottom-up approach to addressing climate change.

(Statement of Dr. Harlan Watson, Senior Climate Negotiator and Special Representative and

<sup>1.</sup> Under Rule 13.9 PUC Rules of Practice and Procedure, the Attorney General requests official notice of the (1) the Testimony of James Connaughton, Chairman, White House Counsel on Environmental Quality, before the Hous Comm. on Gov. Reform (July 20, 2006); (2) Connaughton statement in New York Times (Aug. 24, 2005); (3) Statement of Harlan Watson, Senior Climate Negotiator and Special Representative and Head of Delegation, to the Ninth Meeting of the Parties to the United Nations Framework on Climate Change, Milan (Dec. 4, 2003); and (4) Watson statement, Conference of the Parties 11/MOP 1 Press Conference, Montreal, Canada (Nov. 29, 2005). These documents are attached, as they were submitted, along with a declaration of authenticity, in *Central Valley Chrysler-Jeep, Inc. v. Witherspoon*, No. 1:04-CV-06663-AWI (E.D.Cal).

Head of U.S. Delegation, Ninth Session of the Conference of the Parties, U.N. Framework Convention on Climate Change (Dec. 4, 2003).)

So what exactly is the United States' foreign policy and its relation to state actions on global warming? The federal policy encourages state action with respect to global warming.

CEED cites Am. Ins. Ass'n v. Garamendi, 539 U.S. 396 (2003) and Crosby v. National Foreign Trade Council, 530 U.S. 363 (2000), for the unsurprising proposition that state action is preempted if it interferes or conflicts with foreign policy established by the political branches. Both Crosby and Garamendi involve state statutes that expressly reference the laws or conduct of foreign governments and foreign businesses with the obvious objective of applying state pressure to change those laws or conduct. The Performance Standard has no such intent. In Crosby, the Court struck down a Massachusetts law that imposed trade sanctions on companies doing business in Burma. The Court found that the state law, designed to pressure the Burmese government, imposed "a different state system of economic pressure against the Burmese political regime" that would "blunt the consequences of discretionary Presidential action" under a federal sanctions law with the same objective. (Crosby, 530 U.S. at 376 (footnote omitted).) California's suit has no relation to foreign government laws or policies.

In *Garamendi*, the Supreme Court stuck down a California law that compelled European insurance companies' disclosure of Holocaust-era policy data as a condition of doing business in the state. Citing a "clear conflict" between state law and an executive branch agreement with European governments to secure compensation from those companies through other means, the Court found that the state law imposed a state system of economic pressure at odds with the federal agreement. (539 U.S. at 423.) Unlike *Garamendi*, in which the Court found that the state act stood in the way of federal diplomatic objectives evidenced by the executive agreement, the Performance Standard involves state utilities and their purchases only with no implications for any foreign policy prerogative, even if a particular foreign policy could be discerned.

Both *Crosby* and *Garamendi* involved conflicts between state laws and federal foreign policy objectives explicitly set forth in either a federal statute or an executive agreement. Here, there is no federal law or executive agreement embodying the policy of foreclosing domestic action by a state.

Finally, CEED cites Central Valley Chrysler-Jeep, Inc. v. Witherspoon, 456 F.Supp.2d

1160, 1175-83 (E.D.Cal. 2006) for the proposition that requiring mandatory greenhouse gas emissions conflicts with U.S. foreign policy. (CEED Comments at 21.) The Attorney General represents California in that litigation. CEED has flatly misrepresented the court's holding. The court determined that plaintiffs in the case are not precluded as a matter of law from presenting evidence that U.S. foreign policy may conflict with California's regulations controlling greenhouse gas emissions from mobile sources. The court has not, in any way, made any finding concerning what the foreign policy of the United States is or whether it conflicts with any state action.

#### 3. Domestic Policy

CEED renews its federal law preemption arguments, which we have previously addressed in our July 6, 2006 submission.

#### CONCLUSION

As set forth above, and more extensively in our previous comments and briefs, the Commission has jurisdiction and authority to issue the Standard, and none of the federal constitutional arguments raised by CEED withstands scrutiny. We therefore encourage the Commission to proceed with its effort to promote a system of power delivery for California that is not reliant on the continued supply of GHG-intensive and inefficient electricity sources.

Dated: January 8, 2007

Respectfully submitted,

EDMUND G. BROWN JR. Attorney General

/s/Ken Alex

KEN ALEX
Supervising Deputy Attorney General
JANILL L. RICHARDS
Deputy Attorneys General
DEBORAH SLON
Deputy Attorney General

Attorneys for People of the State of California

#### **DECLARATION OF SERVICE**

Case Name: Rulemaking to Implement the Commission's Procurement Incentive Framework

and to Examine the Integration of Greenhouse Gas Emissions Standards Into

**Procurement Policies** 

Case No.: Public Utilities Commission Case No. 06-04-009

I declare:

I am employed in the Office of the Attorney General, 1515 Clay Street, P.O. Box 70550, Oakland, California 94612-0550, which is the office of a member of the Bar of this Court at which member's direction this service is made. I am 18 years of age or older and not a party to the within entitled cause.

On January 8, 2007, I electronically transmitted the attached PHASE 1, DRAFT INTERIM DECISION: GREENHOUSE GAS EMISSIONS PERFORMANCE STANDARD REPLY BRIEF OF THE PEOPLE OF THE STATE OF CALIFORNIA, ex rel. EDMUND G. BROWN JR., ATTORNEY GENERAL as follows:

#### SERVICE LIST

Keith R. McCrea, Esq. Sutherland, Asbill & Brennan, LLP 1275 Pennsylvania Ave., N.W. Ste. 800 Washington, DC 20004-2415 keith.mccrea@sablaw.com	Annette Gilliam, Esq. Southern California Edison Company 2244 Walnut Grove Avenue Rosemead, CA 91770 gilliaa@sce.com
Theodore Roberts, Esq. Sempra Global 101 Ash Street, HQ 13 San Diego, CA 92101-3017 troberts@sempra.com	Diana L. Lee Calif Public Utilities Commission Legal Division, Room 4300 505 Van Ness Avenue San Francisco, CA 94102-3214 dil@cpuc.ca.gov
Christopher J. Warner Pacific Gas and Electric Company 77 Beale Street San Francisco, CA 94105 cjw5@pge.com	Andrea Weller, Esq. Strategic Energy 3130 D Balfour Rd., Suite 290 Brentwood, CA 94513 aweller@sel.com

Jennifer Chamberlin Strategic Energy 2633 Wellington Court Clyde, CA 94520 jchamberlin@sel.com	Avis Kowalewski Calpine Corporation 3875 Hopyard Road, Ste. 345 Pleasanton, CA 94588 kowalewskia@calpine.com
J. Andrew Hoerner Redefining Progress 1904 Franklin Street Oakland, CA 94612 hoerner@redefiningprogress.org	Barry F. McCarthy, Esq. McCarthy & Berlin, LLP 100 Park Center Plaza, Ste. 501 San Jose, CA 95113 bmcc@mccarthylaw.com
Greggory L. Wheatland, Esq. Ellison, Schneider & Harris, LLP 2015 H Street Sacramento, CA 95814 glw@eslawfirm.com	Ian Carter Policy Coordinator-North America International Emissions Trading Assn. 350 Sparks Street, Ste. 809 Ottawa, ON K1R 7S8 Canada carter@ieta.org

### **Information Only**

Carol Jolly P.O. Box 585 Chesterfield, MA 01012 cajollyco@verizon.net	Richard Cowart Regulatory Assistance Project 50 State Street, Suite 3 Montpelier, VT 05602 rapcowart@aol.com
Jesus Arredondo NRG Energy Inc. 4600 Carlsbad Blvd. Carlsbad, CA 99208 jesus.arredondo@nrgenergy.com	Rick C. Noger Praxair Plainfield, Inc. 2711 Centerville Road, Suite 400 Wilmington, DE 19808 rick_noger@praxair.com
Dallas Burtraw 1616 P Street, N.W. Washington, DC 20036 burtraw@rff.org	Kevin Boudreaux Calpine Power America-CA, LLC 717 Texas Avenue, Suite 1000 Houston, TX 77002 kevin.boudreaux@calpine.com

E. J. Wright Occidental Power Services, Inc. 5 Greenway Plaza, Ste. 110 Houston, TX 77046 ej_wright@oxy.com	Paul M. Seby, Esq. McKenna Long & Aldridge LLP 1875 Lawrence Street, Ste. 200 Denver, CO 80202 pseby@mckennalong.com
Timothy R. Odil, Esq. Mckenna Long & Aldridge LLP 1875 Lawrence Street, Suite 200 Denver, Co 80202 todil@mckennalong.com	Eric Guidry Western Resource Advocates 2260 Baseline Road, Suite 200 Boulder, Co 80304 eguidry@westernresources.org
Larry Barrett Barrett Consulting Services AOL P.O. Box 60429 Colorado Springs, CO 80960 lbbarrett@adelphia.net	Kevin J. Simonsen Energy Management Services 646 East Third Avenue Durango, CO 81301 kjsimonsen@ems-ca.com
Don Stonberger APS Energy Services Company, Inc. 400 E. Van Buren Street, Suite 750 Phoenix, AZ 85004 don.stoneberger@apses.com	Kelly Potter APS Energy Services Company, Inc. 400 E. Van Buren Street, Suite 750 Phoenix, AZ 85004 kelly.potter@apses.com
Cynthia Mitchell Energy Economics, Inc. 530 Colgate Court Reno, NV 89503 ckmitchell1@sbcglobal.net	Frank Luchetti Nevada Div. of Environmental Protection 901 S. Stewart St., Suite 4001 Carson City, NV 89701 fluchetti@ndep.nv.gov
Rasha Prince Southern California Gas Company 555 West 5th Street, GT 14D6 Los Angeles, CA 90013 rprince@semprautilities.com	Curtis L. Kebler Goldman, Sachs & Co. 2121 Avenue of the Stars Los Angeles, CA 90067 curtis.kebler@gs.com
Sam Sadler Oregon Department of Energy 625 N.E. Marion Street Salem, OR 97301-3737 samuel.r.sadler@state.or.us	Michael Mazur 3 Phases Electrical Consulting 2100 Sepulveda Blvd., Ste. 15 Manhattan Beach, CA 90266 mmazur@3phases.com

Harvey Eder Public Solar Power Coalition 1218 12th Street, #25 Santa Monica, CA 90401 harveyederpspc.org@hotmail.com	Daniel W. Douglass Douglass & Liddell 21700 Oxnard Street, Ste. 1030 Woodland Hills, CA 91367-8102 douglass@energyattorney.com
Roger Pelote The Williams Company, Inc. 12736 Califa Street Valley Village, CA 91607 roger.pelote@williams.com	Tim Hemig Director NRG Energy 4600 Carlsbad Blvd. Carlsbad, CA 99208 tim.hemig@nrgenergy.com
Case Administration Southern California Edison Company 2244 Walnut Grove Ave., Rm. 370 Rosemead, CA 91770 case.admin@sce.com	Aimee M. Smith, Esq. Sempra Energy 101 Ash Street HQ 13 San Diego, CA 92101 amsmith@sempra.com
Linda Wrazen Sempra Global 101 Ash Street, HQ 08 San Diego, CA 92101 lwrazen@sempraglobal.com	Symone Vongdeuane Sempra Energy Solutions 101 Ash Street, HQ 09 San Diego, CA 92101-3017 svongdeuane@semprasolutions.com
Donald C. Liddell, P.C. Douglass & Liddell 2928 2nd Avenue San Diego, CA 92103 liddell@energyattorney.com	Yvonne Gross Regulatory Policy Manager Sempra Energy, HQ 08C 101 Ash Street San Diego, CA 92103 ygross@sempraglobal.com
John Laun Apogee Interactive, Inc. 1220 Rosecrans St., Ste. 308 San Diego, CA 92106 jlaun@apogee.net	Shay Labray Manager, Regulatory Pacificorp 825 N.E. Multnomah, Ste. 300 Portland, OR 97232 shayleach.labray@pacificorp.com
Thomas Darton Pilot Power Group, Inc. 9320 Chesapeake Drive, Ste. 112 San Diego, CA 92123 tdarton@pilotpowergroup.com	John W. Leslie, Esq. Luce, Forward, Hamilton & Scripps, LLP 11988 El Camino Real, Ste. 200 San Diego, CA 92130 jleslie@luce.com

Lynelle Lund Commerce Energy, Inc. 600 Anton Blvd., Ste. 2000 Costa Mesa, CA 92626 llund@commerceenergy.com  Norman J. Furuta, Esq. Department of the Navy 2001 Junipero Serra Blvd., Ste. 600	George Hanson Assistant General Manager City of Corona 730 Corporation Yard Way Corona, CA 92880 george.hanson@ci.corona.ca.us  Gloria D. Smith, Esq. Adams, Broadwell, Joseph & Cardozo 601 Gateway Blvd., Ste. 1000
Daly City, CA 94014-3890 norman.furuta@navy.mil  Marc D. Joseph, Esq. Adams Bradwell Joseph & Cardozo 601 Gateway Blvd., Ste. 1000 South San Francisco, CA 94080 mdjoseph@adamsbroadwell.com	South San Francisco, CA 94080 gsmith@adamsbroadwell.com  Diane I. Fellman, Esq. Law Offices of Diane I. Fellman 234 Van Ness Avenue San Francisco, CA 94102 diane_fellman@fpl.com
Hayley Goodson, Esq. The Utility Reform Network 711 Van Ness Avenue, Ste. 350 San Francisco, CA 94102 hayley@turn.org	Marcel Hawiger The Utility Reform Network 711 Van Ness Avenue, Ste. 350 San Francisco, CA 94102 marcel@turn.org
Matthew Freedman, Esq. The Utility Reform Network 711 Van Ness Avenue, Ste. 350 San Francisco, CA 94102 freedman@turn.org	Karen McDonald Powerex Corporation 1400 666 Burrand Street Vancouver, BC V6C 2X8 Canada karen.mcdonald@powerex.com
Michel Florio, Esq. The Utility Reform Network 711 Van Ness Avenue, Ste. 350 San Francisco, CA 94102 mflorio@turn.org	Nina Suetake, Esq. The Utility Reform Network 711 Van Ness Avenue, Ste. 350 San Francisco, CA 94102 nsuetake@turn.org
Audrey Chang Natural Resources Defense Council 111 Sutter Street, 20 <sup>th</sup> Fl. San Francisco, CA 94104 achang@nrdc.org	Dan Adler Director, Tech and Policy Development California Clean Energy Fund 582 Market St., Ste. 1015 San Francisco, CA 94104 Dan.adler@calcef.org

Devra Wang Natural Resources Defense Council 111 Sutter Street, 20th Floor San Francisco, CA 94104 dwang@nrdc.org	Donald Brookhyser, Esq. Alcantar & Kahl 120 Montgomery Street, Ste. 2200 San Francisco, CA 94104 deb@a-klaw.com
Evelyn Kahl, Esq. Alcantar & Kahl 120 Montgomery Street, Ste. 2200 San Francisco, CA 94104 ek@a-klaw.com	Cathy S. Woollums Vice President, Environmental MidAmerican Energy Holdings Company 106 E. Second Street cswoolums@midamerican.com
Michael P. Alcantar, Esq. Alcantar & Kahl 120 Montgomery Street, Ste. 2200 San Francisco, CA 94104 filings@a-klaw.com	Seema Srinivasan, Esq. Alcantar & Kahl 120 Montgomery Street, Ste. 2200 San Francisco, CA 94104 sls@a-klaw.com
Sheryl Carter Natural Resources Defense Council 111 Sutter Street, 20th Floor San Francisco, CA 94104 scarter@nrdc.org	Edward G Poole, Esq. Anderson & Poole 601 California Street Ste. 1300 San Francisco, CA 94108-2818 epoole@adplaw.com
Ann G. Grimaldi, Esq. Mckenna Long & Aldridge LLP 101 California Street, 41st Floor San Francisco, CA 94111 agrimaldi@mckennalong.com	Brian T. Cragg, Esq. Goodin, MacBride, Squeri, Ritchie & Day 505 Sansome Street, Ste. 900 San Francisco, CA 94111 bcragg@gmssr.com
James D. Squeri, Esq. Goodin, MacBride, Squeri, Ritchie & Day 505 Sansome Street, Ste. 900 San Francisco, CA 94111 jsqueri@gmssr.com	Janine L. Scancarelli, Esq. Folger Levin & Kahn LLP 275 Battery Street, 23rd Floor San Francisco, CA 94111 jscancarelli@flk.com
Jeffrey P. Gray, Esq. Davis Wright Tremaine, LLP One Embarcadero Center, Ste. 600 San Francisco, CA 94111 jeffgray@dwt.com	Joseph F. Wiedman, Esq. Goodin, MacBride, Squeri, Ritchie & Day 505 Sansome Street, Ste. 900 San Francisco, CA 94111 jwiedman@gmssr.com

Chris Raphael California Energy Markets 517-B Potrero Avenue San Francisco, CA 94113 chris@newsdata.com	Jen McGraw Center for Neighborhood Technology P.O. Box 14322 San Francisco, CA 94114 jen@cnt.org
Lisa Weinzimer California Energy Reporter Platts 695 Ninth Avenue, No. 2 San Francisco, CA 94118 lisa_weinzimer@platts.com	Steven Moss San Francisco Community Power Coop 2325 Third Street, Ste. 344 San Francisco, CA 94120 steven@moss.net
Sara Steck Myers, Esq. 122 28 <sup>th</sup> Avenue San Francisco, CA 94121 ssmyers@att.net	Ed Lucha Project Manager Pacific Gas and Electric Company P.O. Box 770000, Mail Code: B9A San Francisco, CA 94177 ell5@pge.com
Grace Livingston-Nunley Assistant Project Manager Pacific Gas and Electric Company P.O. Box 770000, Mail Code: B9A San Francisco, CA 94177 gx12@pge.com	Jasmin Ansar Pacific Gas and Electric Company P.O. Box 770000, Mail Code: B24A San Francisco, CA 94177 jxa2@pge.com
Jonathan Forrester Pacific Gas and Electric Company P.O. Box 770000, Mail Code: N13C San Francisco, CA 94177 JDF1@pge.com	Sebastien Csapo Project Manager Pacific Gas and Electric Company P.O. Box 770000, Mail Code: B9A San Francisco, CA 94177 sscb@pge.com
Soumya Sastry Project Manager Pacific Gas and Electric Company P.O. Box 770000, Mail Code: B9A San Francisco, CA 94177 svs6@pge.com	Brian K. Cherry Regulatory Relations Project Manager Pacific Gas and Electric Company P.O. Box 770000, Mail Code: B10C San Francisco, CA 94177 bkc7@pge.com

Valerie J. Winn Project Manager Pacific Gas and Electric Company P.O. Box 770000, Mail Code: B9A San Francisco, CA 94177 vjw3@pge.com	Greg Blue 140 Mountain Parkway Clayton, CA 94517 greg.blue@sbcglobal.net
Andrew J. Van Horn Van Horn Consulting 12 Lind Court Orinda, CA 94563 andy.vanhorn@vhcenergy.com	Steven S. Schleimer Calpine Corporation 3875 Hopyard Road, Ste. 345 Pleasanton, CA 94588 sschleimer@calpine.com
MRW & Associates, Inc. 1999 Harrison St., Ste. 1440 Oakland, CA 94612 mrw@mrwassoc.com	Reed V. Schmidt Vice President Bartle Wells Associates 1889 Alcatraz Avenue Berkeley, CA 94703 rschmidt@bartlewells.com
Gregg Morris Green Power Institute 2039 Shattuck Avenue, Ste. 402 Berkeley, CA 94704 gmorris@emf.net	John Galloway Senior Energy Analyst Union of Concerned Scientists 2397 Shattuck Avenue, Ste. 203 Berkeley, CA 94704 jgalloway@ucsusa.org
Clyde Murley Consultant 600 San Carlos Avenue Albany, CA 94706 clyde.murley@comcast.net	Edward Vine Lawrence Berkeley National Laboratory One Cyclotron Road Building 90-4000 Berkeley, CA 94720 elvine@lbl.gov
Ryan Wiser Lawrence Berkeley National Laboratory One Cyclotron Road Building 90-4000 Berkeley, CA 94720 rhwiser@lbl.gov	Arno Harris P.O. Box 6903 San Rafael, CA 94903 arno@arnoharris.com

Mahlon Aldridge Ecology Action P.O. Box 1188 Santa Cruz, CA 95060 emahlon@ecoact.org	C. Susie Berlin, Esq. McCarthy & Berlin, LLP 100 Park Center Plaza, Ste. 501 San Jose, CA 95113 sberlin@mccarthylaw.com
Richard Smith Modesto Irrigation District 1231 11 <sup>th</sup> Street Modesto, CA 95352-4060 richards@mid.org	Christopher J. Mayer Modesto Irrigation District 1231 11 <sup>th</sup> Street Modesto, CA 95352-4060 chrism@mid.org
Joy A. Warren, Esq. Modesto Irrigation District 1231 11 <sup>th</sup> Street Modesto, CA 95352-4060 joyw@mid.org	Clark Bernier RLW Analytics 1055 Broadway, Ste. G Sonoma, CA 95476 clark.bernier@rlw.com
Richard McCann, Ph.D. M. Cubed 2655 Portage Bay, Ste. 3 Davis, CA 95616 rmccann@umich.edu	Carolyn M. Kehrein Energy Management Services 1505 Dunlap Court Dixon, CA 95620-4208 cmkehrein@ems-ca.com
David Branchcomb Branchcomb Associates, LLC 9360 Oaktree Lane Orangeville, CA 95662 david@branchcomb.com	Scott Tomashefsky Northern California Power Agency 180 Cirby Way Roseville, CA 95678-6420 scott.tomashefsky@ncpa.com
Ellen Wolfe Resero Consulting 9289 Shadow Brook Place Granite Bay, CA 95746 ewolfe@resero.com	Andrew Brown, Esq. Ellison Schneider & Harris, LLP 2015 H Street Sacramento, CA 95814 abb@eslawfirm.com
Audra Hartmann LS Power Generation 980 Ninth Street, Ste. 1420 Sacramento, CA 95814 ahartmann@lspower.com	Bruce Mclaughlin Braun & Blaising, P.C. 915 L Street, Ste. 1420 Sacramento, CA 95814 mclaughlin@braunlegal.com

Curt Barry 717 K Street, Ste. 503 Sacramento, CA 95814 curt.barry@iwpnews.com	Steven Kelly Independent Energy Producers Assn. 1215 K Street, Ste. 900 Sacramento, CA 95814-3947 steven@iepa.com
Edward J. Tiedemann, Esq. Kronick, Moskovitz, Tiedemann & Girard 400 Capitol Mall, 27 <sup>th</sup> Floor Sacramento, CA 95814-4416 etiedemann@kmtg.com	Karen Norene Mills, Esq. California Farm Bureau Federation 2300 River Plaza Drive Sacramento, CA 95833 kmills@cfbf.com
Karen Lindh Lindh & Associates 7909 Walerga Road, No. 112, PMB119 Antelope, CA 95843 karen@klindh.com	Denise Hill Director 4004 Kruse Way Place, Ste. 150 Lake Oswego, OR 97035 Denise_Hill@transalta.com
Annie Stange Alcantar & Kahl 1300 S.W. Fifth Ave., Ste. 1750 Portland, OR 97210 sas@a-klaw.com	Alan Comnes West Coast Power 3934 S.E. Ash Street Portland, OR 97214 alan.comnes@nrgenergy.com
Lisa Schwartz Public Utilities Commission 550 Capitol St NE, Ste. 215 Salem, OR 97301-2551 lisa.cschwartz@state.or.us	Kyle Davis Pacific Power, A Pacificorp Company 825 NE Multnomah Portland, OR 97232 kyle.l.davis@pacificorp.com
Cliff Chen Union of Concerned Scientists 2397 Shattuck Avenue, Suite 203 Berkeley, CA 94704-1567 cchen@ucsusa.org	Barry Lovell Berry Petroleum Company 5201 Truxtun Ave., Suite 300 Bakersfield, CA 93309-0640 bjl@bry.com

#### **State Service**

James Loewen California Public Utilities Commission Natural Gas, Energy Efficiency & Resource Advisory 320 West Fourth Street, Ste. 500 Los Angeles, CA 90013 loe@cpuc.ca.gov	Christine S. Tam California Public Utilities Commission Electricity Resources & Pricing Branch 505 Van Ness Ave., Rm. 4209 San Francisco, CA 94102-3214 tam@cpuc.ca.gov
Donald R. Smith California Public Utilities Commission Electricity Resources & Pricing Branch 505 Van Ness Ave., Rm. 4209 San Francisco, CA 94102-3214 dks@cpuc.ca.gov	Judith Ikle California Public Utilities Commission Energy Resources Branch 505 Van Ness Ave., Rm. 4012 San Francisco, CA 94102-3214 jci@cpuc.ca.gov
Julie A. Fitch California Public Utilities Commission Executive Division 505 Van Ness Ave., Rm. 5203 San Francisco, CA 94102-3214 jf2@cpuc.ca.gov	Lainie Motamedi California Public Utilities Commission Division of Strategic Planning 505 Van Ness Ave., Rm. 5119 San Francisco, CA 94102-3214 lrm@cpuc.ca.gov
Meg Gottstein California Public Utilities Commission Division of Administrative Law Judges 505 Van Ness Ave., Rm. 2106 San Francisco, CA 94102-3214 meg@cpuc.ca.gov	Merideth Sterkel California Public Utilities Commission Energy Resources Branch 505 Van Ness Ave., Area 4-A San Francisco, CA 94102-3214 mts@cpuc.ca.gov
Theresa Cho California Public Utilities Commission Executive Division 505 Van Ness Ave., Rm. 5207 San Francisco, CA 94102-3214 tcx@cpuc.ca.gov	Don Schultz California Public Utilities Commission Electricity Resources & Pricing Branch 770 L Street, Ste. 1050 Sacramento, CA 95814 dsh@cpuc.ca.gov
Karen Griffin Executive Office California Energy Commission 1516 9th Street, MS 39 Sacramento, CA 95814 kgriffin@energy.state.ca.us	Lisa Decarlo Staff Counsel California Energy Commission 1516 9th Street, MS 14 Sacramento, CA 95814 Idecarlo@energy.state.ca.us

Pierre H. Duvair California Energy Commission 1516 9<sup>th</sup> Street, MS 41 Sacramento, CA 95814 pduvair@energy.state.ca.us

Carla Peterman California Public Utilities Commission 505 Van Ness Ave., Rm. 5207 San Francisco, CA 94102-3214 cpi@cpuc.ca.gov

Executed on January 8, 2007, at Oakland, California.

Esther M'Donald
Esther McDonald